# Techniques in Universal and Updatable SNARKs 

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## Overview

(1) Reminder: Basics
(2) Technical Core of Non-Updatable and Universal SNARKs
(3) Setups
(4) Universal and Updatable SNARKs

## What are ZK Proofs?

|  | 2 |  | 5 |  | 1 |  | 9 |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 8 |  |  | 2 |  | 3 |  |  | 6 |
|  | 3 |  |  | 6 |  |  | 7 |  |
|  |  | 1 |  |  |  | 6 |  |  |
| 5 | 4 |  |  |  |  |  | 1 | 9 |
|  |  | 2 |  |  |  | 7 |  |  |
|  | 9 |  |  | 3 |  |  | 8 |  |
| 2 |  |  | 8 |  | 4 |  |  | 7 |
|  | 1 |  | 9 |  | 7 |  | 6 |  |

$x=$ "Unsolved Sudoku"

| 4 | 2 | 6 | 5 | 7 | 1 | 3 | 9 | 8 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 8 | 5 | 7 | 2 | 9 | 3 | 1 | 4 | 6 |
| 1 | 3 | 9 | 4 | 6 | 8 | 2 | 7 | 5 |
| 9 | 7 | 1 | 3 | 8 | 5 | 6 | 2 | 4 |
| 5 | 4 | 3 | 7 | 2 | 6 | 8 | 1 | 9 |
| 6 | 8 | 2 | 1 | 4 | 9 | 7 | 5 | 3 |
| 7 | 9 | 4 | 6 | 3 | 2 | 5 | 8 | 1 |
| 2 | 6 | 5 | 8 | 1 | 4 | 9 | 3 | 7 |
| 3 | 1 | 8 | 9 | 5 | 7 | 4 | 6 | 2 |

w="Solved Sudoku"

Peggy: $(x, w)$

A process in which a prover probabilistically convinces a verifier of the correctness of a mathematical proposition, and the verifier learns nothing else.

## What are ZK Proofs?

$$
\left.\begin{array}{cc}
x=\text { CircuitSat }=(\text { There exists } w \text { s.t. } C(w)=1
\end{array}\right) \quad w, w=(p, q)
$$



A process in which a prover probabilistically convinces a verifier of the correctness of a mathematical proposition, and the verifier learns nothing else.

## Properties of ZKProofs



- Completeness. If Peggy and Victor behave honestly, the proof will be accepted.
- Soundness. Peggy cannot prove false statements.
- Zero-Knowledge. Victor learns nothing beyond the truth of the statement.
- Of Knowledge. Victor is conviced that the prover knows a witness for the statement being true.


## What is a "good" ZK Proof

Performance measured in different parameters.


- Expressivity.
- Prover complexity/ Verifier complexity.
- Proof size.
- Weaker/ Stronger Computational assumptions.
- Need for a trusted Setup.
- Amount of interaction.
- Of Knowledge.
- Private vs Public Verification...


## (Pairing-Based) (zk)-SNARKs

ZK-Succinct Non-Interactive Arguments of Knowledge

- Language: circuit satisfiability.
- Verifier: super efficient (and public).
- Proof: succinct.
- Long Structured Reference String.
- Very strong Assumptions


## ZK Proofs History: The Hunting of the SNARK



# Non-universal SNARKs: Technical 

## core

## Overview

- Information Theoretic Step: statement is encoded in a convenient way ${ }^{1}$.

CircuitSat Relation
Circuit, $\vec{c}$


## Algebraic Relation

Rank 1 Constraint System

L, $\mathbf{R}$ s.t.
$\rightarrow \quad \vec{c}$ satisfies circuit iff

$$
\mathbf{L} \vec{c} \circ \mathbf{R} \vec{c}=\vec{c}
$$

## Polynomial Relation

Quadratic Arithmetic Program

$$
t(X),\left\{v_{i}(X), w_{i}(X), \lambda_{i}(X)\right)
$$

$$
\text { s.t. } \vec{c} \text { satisfies circuit } \Leftrightarrow
$$

$$
t(X) \text { divides }
$$

$$
\left(\sum_{i} c_{i} v_{i}(X)\right)\left(\sum_{i} c_{i} w_{i}(X)\right)
$$

$$
-\sum_{i} c_{i} \lambda_{i}(X)
$$

- Computational Step: statement is compressed.


## Quadratic Arithmetic Program

SNARK

$$
t(X),\left\{v_{i}(X), w_{i}(X), \lambda_{i}(X)\right\}_{i} \quad \xrightarrow{\text { Compiler }} \quad \text { SRS, } \pi
$$

[^0]
## From Circuit to Algebraic Relations

$$
C: \mathbb{Z}_{p}^{4} \rightarrow \mathbb{Z}_{p}, \quad C\left(c_{1}, c_{2}, c_{3}, c_{4}\right)=\left(c_{1}+c_{2}\right)\left(2 c_{2}\left(c_{3}+c_{4}\right)\right)
$$

$\vec{a}, \vec{b}, \vec{c}$ : left, right and output wires for multiplication gates.


Hadamard Product Relation: $\binom{a_{5}}{a_{6}} \circ\binom{b_{5}}{b_{6}}=\binom{c_{5}}{c_{6}}$
Linear Relations:

$$
\binom{a_{5}}{a_{6}}=\left(\begin{array}{lllll}
0 & 2 & 0 & 0 & 0 \\
1 & 1 & 0 & 0 & 0
\end{array}\right) \vec{c}=\mathbf{F} \vec{c}, \quad\binom{b_{5}}{b_{6}}=\left(\begin{array}{llll}
1 & 1 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & 1
\end{array}\right) \vec{c}=\mathbf{G} \vec{C}
$$

## From Circuit to Algebraic Relations, simplified



Hadamard Product Relation: $\vec{a} \circ \vec{b}=\left(\begin{array}{l}a_{0} \\ a_{1} \\ a_{2} \\ a_{3} \\ a_{4} \\ a_{5} \\ a_{6}\end{array}\right) \circ\left(\begin{array}{l}b_{0} \\ b_{1} \\ b_{2} \\ b_{3} \\ b_{4} \\ b_{5} \\ b_{6}\end{array}\right)=\left(\begin{array}{l}1 \\ c_{1} \\ c_{2} \\ c_{3} \\ c_{4} \\ c_{5} \\ c_{6}\end{array}\right)$

## Linear Relations:

$\vec{a}=\mathbf{L} \vec{c}, \vec{b}=\mathbf{R} \vec{c}$, where $\mathbf{L}=\binom{\mathbf{I}_{5 \times 5}}{\mathbf{F}}, \mathbf{R}=\binom{\tilde{\mathbf{I}}}{\mathbf{G}}, \tilde{\mathbf{I}}=\left(\begin{array}{ll}\overrightarrow{1}_{5} & \mathbf{0}_{5 \times 4}\end{array}\right)$.

## From Circuit to Algebraic Relations, Example

$$
C: \mathbb{Z}_{p}^{4} \rightarrow \mathbb{Z}_{p}, \quad C\left(c_{1}, c_{2}, c_{3}, c_{4}\right)=\left(c_{1}+c_{2}\right)\left(2 c_{2}\left(c_{3}+c_{4}\right)\right)
$$

Statement: There exists $c_{3}, c_{4}$ such that $C\left(1,2, c_{3}, c_{4}\right)=84$.
1 Public Input Relations:
$c_{0}=1, c_{1}=1, c_{2}=2, c_{6}=84$.
(2) Hadamard Product Relation:
$\vec{a} \circ \vec{b}=\vec{c}$
(3) Linear Relations:
$\vec{a}=\mathbf{L} \vec{c}, \vec{b}=\mathbf{R} \vec{c}$.

Witness: $\vec{c}=(1,1,2,3,4,28,84)^{\top} \in\left(\mathbb{F}_{p}\right)^{7}$.

## Hadamard Product and Lagrange Interpolation

- Let $\mathcal{R}=\left\{r_{0}, \ldots, r_{m-1}\right\}$ multiplicative subgroup of $\mathbb{F}_{p}^{*}, \lambda_{i}(X)$ ith Lagrange interpolation polynomial:

$$
\begin{gathered}
\lambda_{i}(X)=\prod_{j \neq i} \frac{\left(X-r_{j}\right)}{\left(r_{i}-r_{j}\right)}, \quad \lambda_{i}\left(r_{j}\right)=\left\{\begin{array}{ll}
1 & i=j \\
0 & i \neq j
\end{array}, \quad t(X)=\prod_{j}\left(X-r_{j}\right)\right. \\
\lambda(X)^{\top}=\left(\lambda_{0}(X), \ldots, \lambda_{m-1}(X)\right) .
\end{gathered}
$$

- We can encode vectors as polynomials to do "linear algebra" with polynomials:

$$
\vec{y}=\left(y_{0}, \ldots, y_{m-1}\right) \longleftrightarrow y(X)=\sum_{i=0}^{m-1} y_{i} \lambda_{i}(X)=\lambda(X)^{\top} \vec{y} \quad \text { Obs: } y\left(r_{j}\right)=y_{j}
$$

- Hadamard Product can be encoded as divisibility relation: for any $\vec{c}, \vec{a}, \vec{b}$,

$$
a(X) b(X)-b(X)=H(X) t(X) \Longleftrightarrow c=\vec{a} \circ \vec{b}
$$

## Linear Relations as Polynomial Relations

$$
\begin{gathered}
\mathbf{L}=\left(\begin{array}{ccc}
v_{0}\left(r_{0}\right) & \ldots & v_{6}\left(r_{0}\right) \\
\vdots & & \vdots \\
v_{0}\left(r_{6}\right) & \ldots & v_{6}\left(r_{6}\right)
\end{array}\right) \Longleftrightarrow \boldsymbol{\lambda}(X)^{\top} \mathbf{L}=\left(v_{0}(X), \ldots, v_{6}(X)\right), \\
\mathbf{R}=\left(\begin{array}{ccc}
w_{0}\left(r_{0}\right) & \ldots & w_{6}\left(r_{0}\right) \\
\vdots & & \vdots \\
w_{0}\left(r_{6}\right) & \ldots & w_{6}\left(r_{6}\right)
\end{array}\right) \Longleftrightarrow \lambda(X)^{\top} \mathbf{R}=\left(w_{0}(X), \ldots, w_{6}(X)\right), \\
\vec{a}=\mathbf{L} \vec{c} \quad \text { AND } \quad \vec{b}=\mathbf{R} \vec{c} \quad \Longleftrightarrow \\
a(X)=\boldsymbol{\lambda}(X)^{\top} \vec{a}=\boldsymbol{\lambda}(X)^{\top} \mathbf{L} \vec{c}=\sum_{j=0}^{6} c_{j} w_{j}(X) \text { AND } \\
b(X)=\boldsymbol{\lambda}(X)^{\top} \vec{b}=\boldsymbol{\lambda}(X)^{\top} \mathbf{R} \vec{c}=\sum_{j=0}^{6} c_{j} w_{j}(X)
\end{gathered}
$$

## From Algebraic Relations to Polynomial Relations

 In summary- Public Input Relation:

$$
c_{0}=1, c_{1}=1, c_{2}=2, c_{6}=84
$$

- Hadamard Relation:

$$
a(X) b(X)-c(X)=H(X) t(X)
$$

- Linear Relations: There exists $\vec{c}$ such that

$$
a(X)=\sum_{j=0}^{6} c_{j} v_{j}(X) \quad b(X)=\sum_{j=0}^{6} c_{j} v_{j}(X) \quad c(X)=\sum_{j=0}^{6} c_{j} \lambda_{j}(X)
$$

We discuss how to prove linear relations next.

## Bilinear map or Pairing

## Compressing or Computational Step

- Implicit notation: $[a]_{i}=a \mathcal{P}_{i}$.


## Definition

$\mathrm{G}_{1}, \mathrm{G}_{2}, \mathrm{G}_{T}$ cyclic groups of order $p$ where DLOG is hard, $\mathcal{P}_{1}, \mathcal{P}_{2}$ generators of $\mathrm{G}_{1}, \mathrm{G}_{2}$ respectively, $e: \mathrm{G}_{1} \times \mathrm{G}_{2} \rightarrow \mathrm{G}_{T}$ is a non-degenerate bilinear map (or pairing) if

- for all $\left([\alpha]_{1},[\beta]_{2}\right) \in G_{1} \times \mathbb{G}_{2}$,

$$
e\left([\alpha]_{1},[\beta]_{2}\right)=e\left(\mathcal{P}_{1}, \mathcal{P}_{2}\right)^{\alpha \beta}(\text { Bilinearity }),
$$

- $e\left([\alpha]_{1},[\beta]_{2}\right) \neq 1_{\mathrm{G}_{T}}$ (Non-degeneracy)


## (Bilinear) groups: What can we efficiently do?

- (Recall: Implicit notation: $[a]=a \mathcal{P}$, group of order $p$ ).
- Essentially all we can efficiently do: given $\left[x_{1}\right], \ldots,\left[x_{n}\right]$, compute combinations with known linear coefficients $c_{i} \in \mathbb{Z}_{p}$ :

$$
\sum c_{i}\left[x_{i}\right]
$$

- In particular, given some element $[p(\tau)]$ a polynomial $p(X)$ with known coefficients $c_{i} \in \mathbb{Z}_{p}$, and $[1],[\tau], \ldots,\left[\tau^{q}\right]$ :
- If $p(X)$ is divisible by $t(X):[p(\tau) / t(\tau)]$ easy to compute.

$$
h(X):=p(X) / t(X), \quad[p(\tau) / t(\tau)]_{1}=\sum h_{i}\left[\tau^{i}\right]
$$

- If $p(X)$ is not divisible by $t(X):[p(\tau) / t(\tau)]$ hard to compute (q-Strong Diffie Hellman type of assumption).


## SNARK construction (an abstraction of [ParGenHowRay13])

Setup: Chooses $\tau \leftarrow \mathbb{Z}_{p}$, evaluates $t(\tau),\left\{v_{i}(\tau), w_{i}(\tau), \lambda_{i}(\tau)\right\}_{i}$ and appends $[t(\tau)]_{1,2},\left[v_{i}(\tau)\right]_{1},\left[w_{i}(\tau)\right]_{2},\left[\lambda_{i}(\tau)\right]_{1},\left[\tau^{i}\right]_{1,2}$ to SRS.

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Setup: Chooses $\tau \leftarrow \mathbb{Z}_{p}$, evaluates $t(\tau),\left\{v_{i}(\tau), w_{i}(\tau), \lambda_{i}(\tau)\right\}_{i}$ and appends $[t(\tau)]_{1,2},\left[v_{i}(\tau)\right]_{1},\left[w_{i}(\tau)\right]_{2},\left[\lambda_{i}(\tau)\right]_{1},\left[\tau^{i}\right]_{1,2}$ to SRS.
Prover (SRS, $\vec{c}$ ): Samples $\delta_{1}, \delta_{2}, \delta_{3} \leftarrow \mathbb{Z}_{p}^{*}$, and doing linear combination of elements of SRS computes:

$$
\begin{gathered}
A=\left[a(\tau)+\delta_{1} t(\tau)\right]_{1} \quad B=\left[b(\tau)+\delta_{2} t(\tau)\right]_{2} \\
C=\left[c(\tau)+\delta_{3} t(\tau)\right]_{1}, \text { and }
\end{gathered}
$$

1 A proof $H$ that divisibility relation holds at point $\tau$.

$$
H=\left[\frac{1}{t(\tau)}\left(\left(a(\tau) b(\tau)-c(\tau)+\left(\delta_{1} \delta_{2}-\delta_{3}\right) t(\tau)\right)\right]_{1}\right.
$$

2 A proof $\Pi$ that $A, B, C$ are well formed, in "span" of $\left\{v_{i}(\tau)\right\}$ (resp. $\left\{w_{i}(\tau)\right\}$, $\left\{\lambda_{i}(\tau)\right\}$ for same witness.)

## SNARK construction (an abstraction of [ParGenHowRay13])

Setup: Chooses $\tau \leftarrow \mathbb{Z}_{p}$, evaluates $t(\tau),\left\{v_{i}(\tau), w_{i}(\tau), \lambda_{i}(\tau)\right\}_{i}$ and appends $[t(\tau)]_{1,2},\left[v_{i}(\tau)\right]_{1},\left[w_{i}(\tau)\right]_{2},\left[\lambda_{i}(\tau)\right]_{1},\left[\tau^{i}\right]_{1,2}$ to SRS.
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$$

2 A proof $\Pi$ that $A, B, C$ are well formed, in "span" of $\left\{v_{i}(\tau)\right\}$ (resp. $\left\{w_{i}(\tau)\right\}$, $\left\{\lambda_{i}(\tau)\right\}$ for same witness.)

Verifier (SRS, H, A, B, C):
1 Checks well-formedness of $A, B, C+$ divisibility at point $\tau$ using pairings

$$
e\left(H,[t(\tau)]_{2}\right) \stackrel{?}{=} e(A, B) e\left(C,\left[11_{2}\right)^{-1} .\right.
$$

## SNARK construction: Linear Relations

## (Simplified)

Proof that $A, B, C$ is in the span of $\left\{v_{i}(\tau)\right\},\left\{w_{i}(\tau)\right\},\left\{\lambda_{i}(\tau)\right\}$ (with same $\vec{c}$ ):
11 Include in SRS:

$$
\left(\left\{\left[\alpha v_{i}(\tau)+\beta w_{i}(\tau)+\gamma \lambda_{i}(\tau)\right]_{1}\right\},[\alpha]_{2},[\beta]_{2},[\gamma]_{2}\right)
$$

12 Prover:

$$
\pi^{\prime}=\sum_{i=0}^{6} c_{i}\left[\left(\alpha v_{i}(\tau)+\beta w_{i}(\tau)+\gamma \lambda_{i}(\tau)\right)\right]_{1}
$$

3 Verifier:

$$
e\left(A,[\alpha]_{2}\right)+e\left([\beta]_{1}, B\right)+e\left(C,[\gamma]_{2}\right) \stackrel{?}{=} e\left(\pi^{\prime},[1]_{2}\right) .
$$

## SNARK construction: Security

- Perfect Zero-Knowledge: Randomization! (proof distribution is uniform conditioned on being accepted by Verifier.)
- Soundness:

11 Extract a "witness candidate" $\vec{c}$ from proof of well formedness, i.e.

$$
A=\sum c_{i} v_{i}(\tau), \quad B=\sum c_{i} w_{i}(\tau) \quad C=\sum c_{i} \lambda_{i}(\tau) .
$$

22 If adversary breaks soundness, $p(X)=\left(\sum c_{i} v_{i}(X)\right)\left(\sum c_{i} w_{i}(X)\right)-\left(\sum c_{i} \lambda_{i}(X)\right)$ not divisible by $t(X)$, but adversary has computed $p(\tau) / t(\tau)$ in the exponent!! For soundness, it is crucial that $s$ is secret!!
3 Linear Relations:
For soundness, it is crucial that $\alpha, \beta, \gamma$ are secret and tied together to polynomials $\left\{v_{i}(X), w_{i}(X), \lambda_{i}(X)\right\}$. They cannot be reused for the SRS for another circuit!!!

## SNARK construction: Security II

- Step 2 and 3 are standard: for Step 1, we need a non-falsifiable assumption.


## Definition (q-Power Knowledge of Exponent Assumption)

For every PPT $\mathcal{A}$ which, on input $[1]_{1},[\tau]_{1}, \ldots,\left[\tau^{q}\right]_{1}$ and $[\alpha]_{1},[\alpha]_{2},[\alpha \tau]_{1}, \ldots,\left[\alpha \tau^{q}\right]_{1}$, outputs $V, \alpha V \in \mathbb{G}_{1}$, there exists a PPT extractor which outputs $c_{1}, \ldots, c_{q} \in \mathbb{Z}_{p}$ such that $V=\sum c_{i} \tau^{i}$.

Non-falsifiable Assumption. Black-box extraction is information theoretically impossible, would also mean the SNARK contradicts known impossibility results
(e.g. [GenWic11])

## Remarks

- Construction generalizes to case where some $c_{1}, \ldots, c_{\ell}$ are public (as in example)
- Simulation: given $\tau \in \mathbb{Z}_{p}$, we can simulate any proof by dividing by $t(\tau)$ !!
- Best zk-SNARK construction by Groth 2016 based on similar ideas.
$m$ Circuit size, $\ell$ public inputs,
- Prover computation $O(m \log m)$.
- Verifier's computation 3 Pairings $+O(\ell)$ exponentiations.

■ Constant communication complexity! (just 3 group elements in Groth16)

## Setups

## Motivation: SRS

## Observation

The SRS in the previous SNARK consists of two pieces: (given as points in an elliptic curve)
(1) A part that is circuit-independent, or universal: $1, \tau, \tau^{2}, \ldots$
(2) A part that is circuit-dependent: $\alpha, \beta, \gamma,\left\{\alpha v_{j}(\tau)+\beta w_{j}(\tau)+\gamma \lambda_{j}(\tau)\right\}_{j=1, \ldots, m}$

- (1) Can be generated once for all circuits (2) needs to be generated for each circuit.
- In both cases, the information used to generate the SRS can be used to completely break security.


## In the SRS generator we trust...


Z. Wilcox (ZCash) on his knees destroying a computer after parameter generation. https://z.cash/technology/paramgen/

■ SNARKs require a trusted party to generate the parameters.

- Knowledge of randomness to generate parameters: complete failure.
- Solution: distribute trust.
- Two problems: how to update an SRS? How can we avoid doing this expensive setup for each circuit?


## SNARKs: Updatable Model [GroKohMalMeiMie18]



Multiparty Computation Model


Updatable Model

- Updatable Model: for soundness it suffices that one party is honest, and SRS can always be updated NI.
- In [BowGabMie17]: after a trusted setup phase to generate $[\tau],\left[\tau^{2}\right], \ldots,\left[\tau^{q}\right]$, circuit dependent setup is updatable.
- [GroKohMalMeiMie18]: Universal and (single phase) updatable setup: universal setup is updatable, circuit dependent setup is public, no secrets involved (just preprocessing.)


## Universal and Updatable SNARKs: Technical Core

## From Circuit to Algebraic Relations, simplified



Hadamard Product Relation:

$$
\vec{a} \circ \vec{b}=\vec{c}
$$

Universal

## Linear Relations:

$$
\vec{a}=\mathbf{L} \vec{c}, \vec{b}=\mathbf{R} \vec{c} \text {, or equivalently, }\left(\begin{array}{ccc}
-\mathbf{I} & \mathbf{0} & \mathbf{L} \\
\mathbf{0} & -\mathbf{I} & \mathbf{R}
\end{array}\right)\left(\begin{array}{l}
\vec{a} \\
\vec{b} \\
\vec{c}
\end{array}\right)=\overrightarrow{0} .
$$

Previous techniques to prove this relation required circuit-dependent trusted parameters!! New techniques for Linear Relations are necessary.

## From Algebraic Relations to Polynomials

## Inner Product Relations and the Univariate Sumcheck

■ $\mathcal{R}=\left\{r_{0}, \ldots, r_{m-1}\right\} \subset \mathbb{F}_{p}^{*}$, multiplicative subgroup

$$
\lambda_{i}(X)=\prod_{j \neq i} \frac{\left(X-r_{j}\right)}{\left(r_{i}-r_{j}\right)^{\prime}}, \quad t(X)=\prod_{j}\left(X-r_{j}\right)
$$

| Algebraic Formulation | Polynomial Formulation |
| :---: | :---: |
| Vector $\vec{y}=\left(y_{0}, \ldots, y_{m-1}\right)$ | Polynomial $\sum_{i=0}^{m-1} y_{i} \lambda_{i}(X)$ |
| Inner product $z=\vec{w} \cdot \vec{y}$ | $\left[\right.$ [Ben-Sasson et al. 18] ${ }^{2}$ <br> $w(X) y(X)-m^{-1} z=X R(X)+H(X) t(X)$ <br> for some $R(X)$ s.t. $\operatorname{deg} R(X) \leq m-2$. |

${ }^{2}$ In [RZ21] new proof where $\mathcal{R}$ is not necessarily a subgroup.

## From Algebraic Relations to Polynomials

## Inner Product Relations and the Univariate Sumcheck

| Algebraic Formulation | Polynomial Formulation |
| :---: | :---: |
| Vector $\vec{y}=\left(y_{0}, \ldots, y_{m-1}\right)$ | Polynomial $\sum_{i=0}^{m-1} y_{i} \lambda_{i}(X)$ |
|  | [Ben-Sasson et al. 18] |
| Inner product $z=\vec{w} \cdot \vec{y}$ | $w(X) y(X)-m^{-1} z=X R(X)+H(X) t(X)$ <br> for some $R(X)$ s.t. $\operatorname{deg} R(X) \leq m-2$. |

## From Algebraic Relations to Polynomials

## Inner Product Relations and the Univariate Sumcheck

| Algebraic Formulation | Polynomial Formulation |
| :---: | :---: |
| Vector $\vec{y}=\left(y_{0}, \ldots, y_{m-1}\right)$ | Polynomial $\sum_{i=0}^{m-1} y_{i} \lambda_{i}(X)$ |
| Inner product $z=\vec{w} \cdot \vec{y}$ | [Ben-Sasson et al. 18] <br>  <br>  <br> $(X) y(X)-m^{-1} z=X R(X)+H(X) t(X)$ <br> for some $R(X)$ s.t. $\operatorname{deg} R(X) \leq m-2$. |

Proof: Let $P(X)=\sum w_{i} y_{i} \lambda_{i}(X)$. It holds that $w(X) y(X)=P(X)+H(X) t(X)$. But, evaluating at 0 , and using that $\lambda_{i}(0)=m^{-1}$ for all $i$, if $\mathcal{R}$ is a subgroup of roots of unity, $P(0)=m^{-1} \vec{y} \cdot \vec{w}$. Therefore, $P(X)-z m^{-1}$ is 0 at 0 if and only if $z=\vec{y} \cdot \vec{w}$.

## How to Prove Many Inner Product Relations

- Problem. No efficient extension of the univariate sumcheck to prove $m$ inner product relations.
- Solution. Prove one sufficiently random relation:

$$
\begin{gathered}
\text { Checking if } \mathbf{M} \vec{x}=\overrightarrow{0} \quad \text { vs } \quad \text { Checking if }\left(\vec{v}^{\top} \mathbf{M}\right) \cdot \vec{x}=\overrightarrow{0}, \\
\text { where } \vec{v} \\
\text { is sufficiently random!! }
\end{gathered}
$$

- Problem Although matrix $\mathbf{M}$ is public, a sublinear verifier cannot afford to sample a random vector in rowspace of $\mathbf{M}$ (since in the case of interest the number of rows of the matrix is two times the size of the circuit!)


## From Algebraic Relations to Polynomials

Given $\mathbf{M} \in \mathbb{F}^{m \times m}$, define the bivariate polynomial:

$$
P(X, Y)=\left(\lambda_{0}(Y), \ldots, \lambda_{m-1}(Y)\right) \mathbf{M}\left(\begin{array}{c}
\lambda_{0}(X) \\
\vdots \\
\lambda_{m-1}(X)
\end{array}\right)=\sum_{i=0}^{m-1} \sum_{j=0}^{m-1} m_{i j} \lambda_{i}(Y) \lambda_{j}(X)
$$

■ Given random $x$, the vector

$$
\vec{d}=\left(\lambda_{0}(x), \ldots, \lambda_{m-1}(x)\right) \mathbf{M}
$$

is a sufficiently random vector in the row span of $\mathbf{M}$.

- The partial evaluation

$$
D(X)=P(X, x)=\sum_{i=0}^{m-1} d_{i} \lambda_{i}(X)=\left(\lambda_{0}(x), \ldots, \lambda_{m-1}(x)\right) \mathbf{M}\left(\begin{array}{c}
\lambda_{0}(X) \\
\vdots \\
\lambda_{m-1}(X)
\end{array}\right)
$$

is a polynomial encoding of $\vec{d}$ in the Lagrange basis.

## Polynomial Relations for a Universal SNARK

Define $P^{-\mathbf{I}}(X, Y), P^{\mathbf{L}}(X, Y)$ and $P^{\mathbf{R}}(X, Y)$ bivariate encodings of matrices $-\mathbf{I}, \mathrm{L}, \mathbf{O}$.

- Compute $a(X), b(X), b(X)$ the polynomial encoding of $\vec{a}, \vec{b}, \vec{c}$ and prove the Hadamard product relation $\vec{a} \circ \vec{b}=\vec{c}$.
- Verifier sends challenge $x$.
- Prover samples polynomial $D^{\mathbf{I}}(X)=P^{\mathbf{I}}(X, x)$ and $P^{\mathbf{L}}(X, x)$ which is the encoding of random vectors $\vec{d}_{-\mathbf{I}}$ and $\vec{d}_{\mathbf{L}}$ in the span of $-\mathbf{I}$ and $\mathbf{L}$.
- Prover shows that the inner product of $\vec{d}_{-\mathbf{I}} \cdot \vec{a}=\vec{d}_{\mathbf{L}} \cdot \vec{c}$.
- Prover repeats last two steps for proving $\vec{b}=\mathbf{R} \vec{c}$.


## Polynomial Relations for a Universal SNARK

Define $P^{-\mathbf{I}}(X, Y), P^{\mathbf{L}}(X, Y)$ and $P^{\mathbf{R}}(X, Y)$ bivariate encodings of matrices $-\mathbf{I}, \mathrm{L}, \mathbf{O}$.

- Compute $a(X), b(X), b(X)$ the polynomial encoding of $\vec{a}, \vec{b}, \vec{c}$ and prove the Hadamard product relation $\vec{a} \circ \vec{b}=\vec{c}$.
- Verifier sends challenge $x$.
- Prover samples polynomial $D^{\mathbf{I}}(X)=P^{\mathbf{I}}(X, x)$ and $P^{\mathbf{L}}(X, x)$ which is the encoding of random vectors $\vec{d}_{-\mathbf{I}}$ and $\vec{d}_{\mathbf{L}}$ in the span of $-\mathbf{I}$ and $\mathbf{L}$.
- Prover shows that the inner product of $\vec{d}_{-\mathbf{I}} \cdot \vec{a}=\vec{d}_{\mathbf{L}} \cdot \vec{c}$.
- Prover repeats last two steps for proving $\vec{b}=\mathbf{R} \vec{c}$.

Problem: How can verifier test that $D(X)^{\prime}$ s are correct?

## Checkable Subspace Sampling [RafZap21]

## Definition

■ Offline phase: A $\mathbf{M}$ is preprocessed and encoded as a set of polynomials.

- Online phase:
- Sampling: Interactive protocol in which Verifier sends random challenge $\alpha$ and Prover outputs polynomial $D(X)$.
- Prove Sampling:

Prover computes proof $\pi$ that $D(X)$ is sampled correctly.

- Decision phase: Verifier accepts iff $D(X)$ encodes the vector $\vec{v}_{\alpha}^{\top} \mathbf{M}$ for some sufficiently random vector $\vec{v}_{\alpha}$ determined by challenge $\alpha$.

Sampling in the rowspace is delegated to the prover, who needs to show that it is sampling the vector according to the coins of the verifier.

## Which matrices have efficient Checkable Subspace Sampling? <br> Results of [RZ21]

- Sparse Matrices (Marlin)
- Matrices with a bounded number of non-zero elements per column.
- Matrices with Low Tensor Rank.
- Any combination of those.


## Example CSS

$\mathbf{M}=\left(m_{i j}\right) \in \mathbb{F}^{m \times m}$ a matrix with one non-zero element per column. Number non-zero values from 1 to $m$ such that $m_{\operatorname{row}(\ell), \ell} \neq 0$ for some functions val : $[m] \rightarrow \mathbb{F}$, row : $[m] \rightarrow[m]$.

■ Offline Phase: On input $\mathbb{F}_{p}, \mathbf{M}$, the indexer outputs $\left\{v_{1}(X), v_{2}(X)\right\}$, where

$$
v_{1}(X)=\sum_{\ell=1}^{m} r_{\text {row }(\ell)} \lambda_{\ell}(X), \quad v_{2}(X)=m^{-1} \sum_{\ell=1}^{m} \operatorname{val}(\ell) r_{\operatorname{row}(\ell)} \lambda_{\ell}(X) .
$$

- Online Phase:
- Sampling Phase: The verifier outputs $x \leftarrow \mathbb{F}$ and prover sends $D(X)=P(X, x)$.
- Proving Phase: the prover finds and outputs $H(X)$ such that

$$
D(X)\left(x-v_{1}(X)\right)=t(x) v_{2}(X)+H(X) t(X)
$$

## Conclusion

- We have identified the main challenges in building updatable and universal SNARK.
- In particular, we have explained that there is a certain building block in these SNARKs, a Checkable Subspace Sampling subargument, that is particularly challenging to build.
- The CSS Example is for a very simple matrix, but it gets more complex for more expressive types of matrices.
- In particular, the cost of the CSS represents a significant part of the prover cost in several universal and updatable SNARKs (like Sonic, Marlin, Lunar, Basilisk, Counting Vampires), where it is fundamental to guarantee sublinear verification.
- We did not cover Plonk, which is probably the most well known universal and updatable SNARK and which takes a different approach to deal with Linear Relations.


[^0]:    ${ }^{1}$ For ease of presentation in this talke we R1CS to refer to a simpler form called R1CS-lite due to Campanelli et al. Asiacrypt'21.

